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Application No.

TRANSMITTAL				Filing Date		August 31, 2001 Lawrence Jacobs RECEIVED	
•	FORM			First Named Inve			
	FORIVI		Group Art Uni	t :	2185	JAN 0 7 2003	
(То	(To be used for all correspondence after initial filing)		Examiner Nan	ne	****	1 Technology Center 2100	
Total Numb	er of Pages i	n this Submission:		Attorney Dock	et No.	OR01-0330	1 lectinology
			ENCLOSU	RES (check a	il that apply)	
Ame Exte	Fee Transmittal Form Fee attached Drawin Amendment/Response After Final Affidavit/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Assign Drawin D		ing-related Pape n to Convert to a onal Application of Attorney by A ation of Former Fe of Correspond all Disclaimer Entity Statement st for Refund	Gro Gro Gro App App App to Convert to a nal Application f Attorney by Assignee, with ion of Former Powers of Correspondence Address I Disclaimer ntity Statement for Refund		After-Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Additional Enclosure(s): Return Receipt Postcard Check for \$ Copies of 2 references disclosed on sub. form 1449A/PTO	
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		SIGNATI	IRE OF APE	PLIČANT, AT	TORNEY	OR AGEN	т
Name	Daniel E.		gistration No. 4		Date		nber 31, 2002
Signature Daniel E. Veryhan			Telephone		74-1973		
Address 702 Marshall Street, Suite 310, Redwood Ci		ty, CA 94063	Facsimile	650/474-1976			
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I hereby ce	I hereby certify that this correspondence is being deposited with the U. S. Postal Service as Express Mail (No. EL xxx yyy zzz US) or						
First C	First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: December 31, 2002						
Type or Pri	nted Name	Daniel E. Vaugha	an	Signature	D	and V	aughan

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Attorney Docket No.: OR01-03301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Jacobs, et al.

Application No.

09/944,832

Filed Docket August 31, 2001 OR01-03301

Title

Self-Adaptive Hybrid Cache

JAN 0 7 2003

Group/Art Unit

2185

Examiner

Unknown

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56 and 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Listed below and/or on an attached form PTO/SB/08A is information known to applicant(s), which is hereby submitted pursuant to 37 C.F.R. § 1.56. The right is reserved to antedate any included publication or patent in accordance with standard procedure.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

<u> X</u>	_ (New Application)	Copies of each listed publication and U.S. and foreign patent,
	except for pending U.S. applic	ations, are submitted herewith, along with a concise explanation
	of information in a foreign lang	guage (if any).
	_ (Continuation Application)	The listed references were cited by the Applicant(s) or
	Examiner in the parent applica	tion, U.S. Serial No, filed on
	Pursuant to 37 C.F.R. § 1.98(d), copies of these items are not enclosed but may be found in the
	file history of the parent applic	ation.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the application identified above. If form PTO/SB/08A is attached, the Examiner is requested to initial and return it in accordance with MPEP § 609.

\boxtimes	37 C.F.R. § 1.97(b). No fee is believed due, because (check all that apply):	
	 (1) It is being filed within 3 months of the application filing date; OR (2) It is being filed within 3 months of entry of a national stage; OR (3) It is being filed before the mailing date of the first Office Action on the national stage; 	nerits.
	37 C.F.R. § 1.97(c). If this statement is being filed after the latest of: (1) three months the filing date of a national application; (2) three months beyond the date of entry national stage as set forth in § 1.491 in an international application; or (3) the mailing of a first office action on the merits, but before the mailing date of the earlier of office action under § 1.113 or a notice of allowance under § 1.311, then:	of the
	a certification as specified in 37 C.F.R. § 1.97(e) is provided below; or a fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p) is authorized below, enclosed included with the payment of other papers filed together with this statement.	l, or
	37 C.F.R. \S 1.97(d). If this statement is being filed after the mailing date of the earlifinal office action under \S 1.113 or a notice of allowance under \S 1.311, but before payment issue fee, then:	
	a certification as specified in § 1.97(e) is completed below; and a petition under 37 C.F.R. § 1.97(d) requesting consideration of this statement is submitted herewith; and a fee of \$130.00 as set forth in § 1.17(i) is authorized below, enclosed, or includ with the payment of other papers filed together with this statement.	
	Statement under 37 C.F.R. § 1.97(e). I hereby certify that either: each item of inforce contained in the information disclosure statement was cited in a communication from a patent office in a counterpart foreign patent application not more than three months prior filing of the information disclosure statement; or no item of information contained information disclosure statement was cited in a communication from a foreign patent offic counterpart foreign patent application, and, to the knowledge of the person signing the statement was known to any individual designated in section 1.56(c) more than three month to the filing of the information disclosure statement:	foreign r to the in the ice in a tement closure
	Signature: Date: Date:	
	Respectfully submitted,	
Date: _	December 31, 2002 By: Daniel E. Vaughan (Reg. No. 42,199)	_

PARK, VAUGHAN & FLEMING LLP 702 Marshall Street, Suite 310 Redwood City, CA 94063 Telephone: (650) 474-1973 Facsimile: (650) 474-1976

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1

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Application Number	09/944,832
Filing Date	August 31, 2001
First Named Inventor	Lawrence Jacobs
Group Art Unit	2185
Examiner Name	
Attorney Docket No.	OR01-03301

U.S. PATENT DOCUMENTS									
٦	Pages, Columns, Lines Where Relevant Passages or Relevant	Date of Publication of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	U.S. Patent Document Number Kind Code ²		Cite No.1	Examiner Cite No.1		
4	Figures Appear			(if known)					
		03-11-1997	Pitts		5,611,049				
4		07-04-2000	Pitts, et al.		6,085,234				
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FOREIGN PATENT DOCUMENTS									
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Examiner	Date	
Signature	Considered	

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.